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PCT #4  
PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q86456

Katsunori TOYOSHIMA et al.

Appln. No.: 10/530,172

Group Art Unit: 1722

Confirmation No.: 5556

Examiner: Not Yet Assigned

Filed: April 04, 2005

For: THERMOPLASTIC SATURATED NORBORNENE RESIN FILM, AND METHOD OF PRODUCING THERMOPLASTIC SATURATED NORBORNENE RESIN FILM

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §§ 1.97 and 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith. Furthermore, copies of each of the three (3) documents that were cited in the International Search Report (ISR) and identified in the Information Disclosure Statement filed on April 4, 2005 are attached, together with an English language abstract of each, for the Examiner's convenience.

The present Information Disclosure Statement is being filed no later than three months from the application's filing date and before the mailing date of the first Office Action on the

INFORMATION DISCLOSURE STATEMENT  
U.S. Appl. No.: 10/530,172


merits and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) and MPEP 609 for foreign language documents, Applicants note that JP 5-247324, JP 3-106963, JP 2940014, and JP 5-148413 are discussed on pages 2-4 of the specification, and corresponding English language abstracts thereof are also enclosed herewith.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

  
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WASHINGTON OFFICE

**23373**

CUSTOMER NUMBER

Date: June 28, 2005

Substitute for Form 1449 A &amp; B/PTO

Complete if Known

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

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of

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Application Number	10/530,172
Confirmation Number	5556
Filing Date	April 04, 2005
First Named Inventor	Katsunori TOYOSHIMA
Art Unit	1722
Examiner Name	Not Yet Assigned
Attorney Docket Number	Q86456

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
		US			
		US			
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
		JP	5-247324		09-24-1993	NIPPON ZEON CO LTD	w. Abstract
		JP	3-106963		05-01-1991	NIPPON ZEON CO LTD	w. Abstract
		JP	2940014		06-18-1999	JAPAN SYNTHETIC RUBBER CO LTD	w. Abstract
		JP	5-148413		06-15-1993	JAPAN SYNTHETIC RUBBER CO LTD	w. Abstract

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>

Examiner  
Signature

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.